



**APPLICATION** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Minh H. Duong et al.

Serial No.: 10/812,669

Filing Date: 03/30/2004

Examiner: na Art Unit: na

Attorney Docket No.: 33692.03.2269

Title: PORTABLE DEVICE AND METHOD EMPLOYING BEAM SELECTION TO

**OBTAIN SATELLITE NETWORK POSITIONING SIGNALS** 

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Licensing and Review

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Review, on this date.

Christine Wrigh

**RESPONSE TO FORM PTOL-456** 

Dear Sir:

In response to the Form PTOL-456 dated June 3, 2004, Applicants respectfully submit three (3) Statements executed by the inventors indicating there is no relationship of the invention to the performance of any work under any contract or other arrangement with the National Aeronautics and Space Administration (NASA) or the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

Respectfully submitted,

Bv:

Date: 7/19/04

Christopher J. Reckamp Registration No. 34,414

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| Under the Paperwork Reduction Act of 1995.  | no persons                               | U.S. Paten                                       | it and Trademark  | Office; U.S. D | PTO/SB/21 (02-04)<br>gh 07/31/2006. OMB 0651-0031<br>EPARTMENT OF COMMERCE<br>avs a valid OMB control number. |
|---|--|--|---|----------------|---|
| **  |  | Application Number                               | 10/812,669  |                |   |
| TRANSMITTAL   |  | Filing Date                                      | 03/30/2004  |                |   |
| FORM  |  | First Named Inventor                             | Minh H. Duong   |                |   |
| (to be used for all correspondence after initial  | filing)                                  | Art Unit   | na  |                |   |
|   |  | Examiner Name                                    | na  |                |   |
| Total Number of Pages in This Submission  | Total Number of Pages in This Submission |  | 33692.03.2269   |                |   |
|   | ENCI                                     | OSURES (Check all that                           | t anniv)  |                |   |
| <del> </del>  |  | Check an that                                    | арріу)  | After Allow    | ance communication  |
| Fee Transmittal Form  |  | Orawing(s)                                       |   |                | ogy Center (TC)   |
| Fee Attached  |  | icensing-related Papers                          |   | of Appeals     | and Interferences   |
| Amendment/Reply   |  | Petition   |   |                | ice, Brief, Reply Brief)  |
| After Final   |  | Petition to Convert to a Provisional Application |   | Proprietary    | Information   |
| Inventor Statements (3)   |  |  | Power of Attorney, Revocation Change of Correspondence Address  Sta |                | er  |
|   |  | Other  |   | Other Encl     | osure(s) (please  |
|   | -return postcard                         |  |   |                | ow).  |
| Express Abandonment Request   | 一  |  |   |                |   |
| Information Disclosure Statement  | Remar                                    | CD, Number of CD(s)                              |   |                |   |
| Certified Copy of Priority Document(s)  | [11017101                                |  |   |                |   |
| Response to Missing Parts/<br>Incomplete Application  |  |  |   |                |   |
| Response to Missing Parts   |  |  |   |                |   |
| under 37 CFR 1.52 or 1.53   |  |  |   |                |   |
|   |  |  |   |                |   |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |  |  |   |                |   |
| Firm or Christopher J. Reckamp, Reg. No. 34,414 Individual name   |  |  |   |                |   |
| Signature C Scale   |  |  |   |                |   |
| Date July 19, 2004  |  |  |   |                |   |
| CERTIFICATE OF TRANSMISSION/MAILING   |  |  |   |                |   |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |  |  |   |                |   |
| Typed or printed name Christine A. Wright   |  |  |   |                |   |
| Signature Chirt a Whish Date July 19, 2004  |  |  |   |                |   |

This collection of information is required by 37 CFR 1.5. The information is tendered to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| The following is    | an example of an acceptable property rig  | this statement. Statements of this type are, of course, only   |  |  |  |  |
|---------------------|---|--|--|--|--|--|
| of the invention,   | While this example is in the form of a de   | r considerations were involved in the making or conception eclaration, a swom document is equally acceptable.  |  |  |  |  |
| l (We)              | Minh H. Duong   |  |  |  |  |  |
| citizens of         |   |  |  |  |  |  |
|                     | 29737 N. Environ Circle Lake Bluff, IL 60044                                      |  |  |  |  |  |
| declare:            |   |  |  |  |  |  |
| That I (we) made    | and conceived the invention described a   | and claimed in patent application:   |  |  |  |  |
| Serial Number       | 10/812,669 filed in the U   | inited States of America on March 30, 2004   |  |  |  |  |
| titled'             | PORTABLE DEVICE AND METHOD EMP  | LOYING BEAM SELECTION TO OBTAIN  |  |  |  |  |
|                     | SATELLITE NETWORK POSITIONING   |  |  |  |  |  |
| (Check ar           | nd complete either I or II below)   | (Check III and/or IV below as appropriate)   |  |  |  |  |
| 图i. (For Invento    | ors Employed by an Organization) That conceived this invention while employed     | That to the best of my (our) knowledge and belief:   |  |  |  |  |
| by                  | conceived this invention while employed Motorola Inc. That                        |  |  |  |  |  |
| the invention is re | lated to the work I am (we are) employed  | IN The invention was not made or conceived in the course of, or in connection with, or under the terms of any  |  |  |  |  |
| to perform and w    | as made within the scope of my (our)  | contract, subcontract or arrangement entered into with or  |  |  |  |  |
| working hours an    | es; That the invention was made during and with the use of facilities, equipment, | for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and   |  |  |  |  |
| materials, fund     | is, information and services of   | Development Administration or the Department of En-  |  |  |  |  |
| Motorola            | Inc. Other relevant   | ergy.  |  |  |  |  |
| facts are           | _   | AND/OR   |  |  |  |  |
| That to the boot of | f my (our) knowledge and belief (and/or)  | •  |  |  |  |  |
|                     | mation provided by  | ☑ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relation-  |  |  |  |  |
|                     | of  | ship of the invention to the performance of any work under   |  |  |  |  |
| -OR-                |   | any contract of the National Aeronautics and Space Administration.   |  |  |  |  |
| □ II. (For Self-E   | imployed Inventors) That I (we) made  |  |  |  |  |  |
| and conceived this  | s invention on my (our) own time using facilities, equipment, materials, funds,   |  |  |  |  |  |
| information and se  | ervices. Other relevant facts are   |  |  |  |  |  |
|                     |   |  |  |  |  |  |
|                     |   |  |  |  |  |  |
| triatan otalallil   | sius ilique di l'illiciti l'alichi ann nalici ara ne                              | ents made herein of his or her (their) own knowledge are true<br>elieved to be true and further that these statements are made   |  |  |  |  |
| TANGLED OF THE CODE | , indiamini i gise statitibute auu tuo iiko ev                                    | Made are publishable by fine extremely a process of the first section of |  |  |  |  |
| 440000 1001 Ot 11   | tie to of the United States Code and that<br>any patent issuing thereon.          | t such willful false statements may jeopardize the validity of   |  |  |  |  |
|                     | re: Mus 14 Dus.   | 29   |  |  |  |  |
| Post Office Addres  | ss: 29737 N. Environ Circle   | Lake Bluff, IL 60044   |  |  |  |  |
| Date:               | 14 16, 2004   |  |  |  |  |  |
| Inventor's Signatur | re:   |  |  |  |  |  |
|                     | ss:   | **************************************   |  |  |  |  |
| Date:               |   |  |  |  |  |  |

|  | iu K. Chan  | eclaration, a sworn document is equally acceptable.   |
|--|---|---|
| citizana of  |   |   |
| residing at4   | 32 E. Pine Lake Circle  | Vernon Hills, IL 60061  |
| declare:   |   | vernou milis, in dodoi  |
|  | and conceived the invention described a   | nd claimed in patent application:   |
| Serial Number1   | 0/812,669 filed in the U  | nited States of America on March 30, 2004   |
| titled   | PORTABLE DEVICE AND METHOD EM   | PLOYING BEAM SELECTION TO OBTAIN  |
| S  | ATELLITE NETWORK POSITIONING  | SIGNALS"  |
| (Check and   | d complete either I or II below)  | (Check III and/or IV below as appropriate)  |
| the invention is related to perform and was employment duties working hours and materials, funds Motorola facts are Charme  That to the best of based upon inform COR—  III. (For Self-Er and conceived this only my (our) own fire the invention of the conceived this only my (our) own fire the invention is related to perform and conceived this only my (our) own fire the invention is related to perform and conceived this only my (our) own fire the invention is related to perform and conceived this only my (our) own fire the invention is related to perform and was employed the conceived the invention is related to perform and was employed the invention is related to perform and was employed the invention is related to perform and was employed the invention is related to perform and was employed the invention is related to perform and was employed the invention is related to perform and was employed the invention is related to perform and was employed the invention in the invention is related to perform and invention is related t | rs Employed by an Organization) That sincelved this invention while employed intorola Inc   | That to the best of my (our) knowledge and belief:  III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  —AND/OR—  IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration. |
| with the knowledge in Section 1001 of Title the application or a inventor's Signature Post Office Address Date:  | that willful faise statments and the like so the 18 of the United States Code and that my patent issuing thereon.  3: 432 E. Pine Lake Circle | nts made herein of his or her (their) own knowledge are true elleved to be true and further that these statements are made made are punishable by fine or imprisonment, or both, under such willful false statements may jeopardize the validity of Vernon Hills, IL 60061  |
| nventor's Signature<br>Post Office Address   |   |   |
| Date:  |   | <u>.</u>  |
|  |   |   |

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| Sultable for Situ   | istions in which NO Agency tunds or other   | phts statement. Statements of this type are, of course, only reconsiderations were involved in the making or conception eclaration, a sworn document is equally acceptable.   |
|---|---|---|
| I (We)  | Alan Booxom   | ecialation, a sworn document is equally acceptable.   |
| citizens of   | USA   |   |
| residing at   | 213 N. Ridgemoor Ave.   | Mundelein, II, 60060  |
| declare;  |   |   |
| That I (we) mad   | e and conceived the invention described a   | and claimed in patent application:  |
| Serial Number_  | 10/812,669 filed in the U   | inited States of America on March 30, 2004  |
| titled  | "PORTABLE DEVICE AND METHOD EM  | PLOYING BEAM SELECTION TO OBTAIN  |
|   | SATELLITE NETWORK POSITIONG SI  | GNALS"  |
| . /   | and complete either I or II below)  | (Check III and/or IV below as appropriate)  |
|   | fors Employed by an Organization) That conceived this invention while employed  | That to the best of my (our) knowledge and belief:  |
| the invention is re<br>to perform and employment dut<br>working hours a<br>materials, fun | Motorola Inc  | MIII. The Invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  —AND/OR— |
| That to the best of based upon info   | of my (our) knowledge and belief (and/or) rmation provided by of  | IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.   |
| and conceived the only my (our) own   | Employed Inventors) That I (we) made als invention on my (our) own time using a facilities, equipment, materials, funds, services. Other relevant facts are |   |
| with the knowledg<br>Section 1001 of 7  | to that willful false statments and the like so   | ents made herein of his or her (their) own knowledge are true<br>elieved to be true and further that these statements are made<br>made are punishable by fine or imprisonment, or both, under<br>t such willful false statements may jeopardize the validity of   |
| Inventor's Signati  | ure:  | oron /  |
| Post Office Addre   | ess: 213 N. Ridgemoor Ave.  | Mundelein, IL 60060   |
| Date:16   | - July - 2004   |   |
| Inventor's Signati  | ure: Man Bo   | didm  |
| Post Office Addre   | 988:  |   |
| Date:   |   |   |